PART B - FEE(S) TRANSMITTAL

Complete and send this form, t gether with applicable fee(s), to: Mail

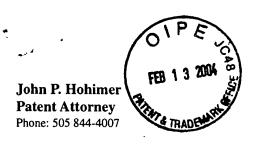
Mail Stop ISSUE FEE Commissioner f r Patents P.O. B x 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 r <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee neither the property of maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. ctions or use Block 1) CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with an 7590 01/30/2004 Timothy D. Stanley Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. Sandia National Laboratories P. O. Box 5800 - MS-0161 Albuquerque, NM 87185-0161 (Depositor's name (Date FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO. 5135 09/900.004 07/05/2001 Thor Bakke SD6853/S96530 TITLE OF INVENTION: VERTICALLY -TAPERED OPTICAL WAVEQUIDE AND OPTICAL SPOT TRANSFORMER FORMED THEREFROM **PUBLICATION FEE** TOTAL FEE(S) DUE DATE DUE APPLN TYPE SMALL ENTITY ISSUE FEE \$1330 04/30/2004 \$1330 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS NGUYEN, KHIEM M 2839 385-129000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the John P. Hohimer names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single $\mbox{\ensuremath{\mathbf{Q}}}$ Change of Correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Albuquerque, New Mexico Candia Corporation Please check the appropriate assignee category or categories (will not be printed on the patent); individual Corporation or other private group entity government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): Issue Fee A check in the amount of the fee(s) is enclosed. □ Payment by credit card. Form PTO-2038 is attached. Publication Fee The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 19-0131 (enclose an extra copy of this form). ' ☐ Advance Order - # of Copies _ Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. 02/18/2004 GWORDOF2 00000083 190131 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. 09900004 01 FC:1501 1330.00 DA

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



Sandia National Laboratories

P. O. Box 5800 / MS 0161 Albuquerque, New Mexico 87185-0161

MAIL STOP ISSUE FEE COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is the Issue Fee Transmittal, Part B, for the following application:

Applicant: Bakke et al

Docket No.: SD6853/S96530

Serial No.: 09/900,004

Examiner: Nguyen, K.

Filed: July 5, 2001

Group:

2839

Please charge the \$1330.00 Issue Fee to Sandia Corporation Deposit Account No. 19-0131. If any additional fees are due, the Office is authorized to charge such additional fees to Sandia Corporation Deposit Account No. 19-0131.

Respectfully submitted,

Dated: Felming 9, 7004

John P. Hohimer

Reg. No. 39,226

Intellectual Property Center

Copy w/encl. to:

DOE/AL D. Kehl (S-96,530)

11500 File SD-6853

comes assected a lagitary and lateralized to the gold proposition by on the constitution of the contraction of

Patent - Serial # 09 / 900,004 Docket # SD6853/S96530 Page 2

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

[X] 37 CFR 1.8(a)
With sufficient postage as first class mail

OR

As "Express Mail Post Office to Addressee"
Mailing Label No.

MARY LOUKOTA
(Type or print name of person mailing paper)

Dated: 2/9/04

Dated: 2/9/04